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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vlad J. Novotny and Yee-Chung Fu

Assignee: Active Optical Networks, Inc.

Title: "MULTI-AXIS MICRO-ELECTRO-MECHANICAL ACTUATOR"

Serial No.: Unknown Filed: December 20, 2001

Examiner: Unknown Group Art Unit: Unknown

Atty. Doc. No.: AO-001

Box Patent Application COMMISSIONER FOR PATENTS

Washington, D.C. 20231

December 20, 2001

PRELIMINARY AMENDMENT

Sir:

Before calculating the filling fee, please enter the following amendment:

IN THE CLAIMS:

Please cancel Claims 42-75 without prejudice.

REMARKS

After entry of this Preliminary Amendment, Claims 1-41 are pending. The undersigned can be reached at (925) 461-2616.

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to:Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on:

20 December

, 2001.

Signature

Date of Signature

Respectfully submitted,

Arthur J. Behiel Attorney for Applicants

Reg. No. 39,603